

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	First Initial:	<input type="text"/>
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U.S. VOLKSWAGEN / AUDI / PORSCHE 3.0-L DIESEL EMISSIONS SETTLEMENT PROGRAM CLAIM FORM

If you are the owner of more than one potentially eligible vehicle, you must submit a separate claim form for each vehicle.

To file your claim in the Volkswagen / Audi / Porsche 3.0-L Diesel Emissions Settlement Program, you may:

- (1) File a claim online by visiting www.VWCourtSettlement.com. Online claim submission will result in expedited processing of your claim.
- (2) If you currently own or lease your vehicle, file a paper claim by completing this form and returning it along with any required documentation on or before June 1, 2019 for Generation 1 vehicles and by December 31, 2019 for Generation 2 vehicles by U.S. Mail or fax to the following:

VW / Audi Emissions Settlement Claims Processing
P.O. Box 214500 Auburn Hills, MI 48321
FAX: 248-754-6602

If submitting by U.S. mail, the envelope must be postmarked by June 1, 2019 for Generation 1 vehicles or December 31, 2019 for Generation 2 vehicles. If submitting by fax, keep a copy of the fax confirmation.

- (3) If you no longer own or lease your vehicle, you must complete the steps described in (2) by July 17, 2017.

Incomplete submissions will not be processed.

IMPORTANT: BEFORE FILLING OUT THIS FORM, READ THIS ENTIRE CLAIM FORM AND THE CLASS LONG FORM NOTICE CAREFULLY. THE CLASS LONG FORM NOTICE CONTAINS ADDITIONAL INFORMATION REGARDING YOUR ELIGIBILITY FOR SETTLEMENT BENEFITS AND OTHER IMPORTANT INFORMATION.

You may have already received a copy of the Class Long Form Notice via email. You can also download a copy for free by visiting www.VWCourtSettlement.com or request that a copy be sent to you by calling 1-844-98-CLAIM (1-844-982-5246).

We will contact you by U.S. mail or email (if an email address is provided) to notify you that your form is complete or to request additional information if necessary.

If you would like to submit a claim online, please visit www.VWCourtSettlement.com.

If you have questions about this form or to learn more about your options, please visit www.VWCourtSettlement.com or call 1-844-98-CLAIM.

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INSTRUCTIONS

*****If you need help completing this form, please visit www.VWCourtSettlement.com or call 1-844-98-CLAIM.*****

- (1) If you have submitted a claim online via the Online Claims Portal at www.VWCourtSettlement.com, you do not need to fill out this form.
- (2) You do not need to fill out every page of this form. You only need to fill out certain portions of this form, as outlined in the box below.
- (3) Please submit every page of this form (even if blank). Submitting all pages will result in faster processing of your claim.
- (4) You must submit the required documentation shown in Steps 4, 5, 6 or 7, depending on your eligibility status. We will contact you if your claim or documents are incomplete.
- (5) You must sign where indicated in Step 8 for your claim to be processed.

To determine which sections of the form you need to complete, see below.

• Eligible Owner.

- If you are the owner of the vehicle, complete: **Steps 1, 2, 3, 4 and 9.**
- If you totaled your vehicle after January 31, 2017, complete **Steps 1, 2, 3, 5 and 9.**

• Eligible Current Lessee.

- If you currently lease the vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc., complete: **Steps 1, 2, 3, 6 and 9.**
- If you or anyone else formerly leased the vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc., and purchased the vehicle at the end of the lease, complete: **Steps 1, 2, 3, 4 and 9.**

• Eligible Former Lessee.

- If you formerly leased the vehicle from Volkswagen Credit, Inc., Audi Financial Services or Porsche Financial Services, Inc., but turned in the vehicle under the terms of the lease, complete: **Steps 1, 2, 3, 7 and 9.**

• Eligible Former Owner.

- If you owned the vehicle at any point between September 18, 2015 and November 2, 2015, but sold or otherwise transferred ownership on or before January 31, 2017, complete: **Steps 1, 2, 3, 8 and 9.**
- If you totaled your vehicle between September 18, 2015 and January 31, 2017, complete **Steps 1, 2, 3, 8 and 9.**

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STEP 1: VEHICLE MODEL AND MODEL YEAR

Check the box next to your vehicle model and model year. Check **only one** box in each column. If you are the owner of more than one potentially eligible vehicle, you must submit a separate claim form for each vehicle.

VOLKSWAGEN ELIGIBLE VEHICLES LIST	
MODEL	MODEL YEAR
<input type="checkbox"/> 3.0-L TDI Touareg (Generation 1)	<input type="checkbox"/> 2009 <input type="checkbox"/> 2010 <input type="checkbox"/> 2011 <input type="checkbox"/> 2012
<input type="checkbox"/> 3.0-L TDI Touareg (Generation 2)	<input type="checkbox"/> 2013 <input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016
AUDI	
<input type="checkbox"/> 3.0-L TDI Q7 (Generation 1)	<input type="checkbox"/> 2009 <input type="checkbox"/> 2010 <input type="checkbox"/> 2011 <input type="checkbox"/> 2012
<input type="checkbox"/> 3.0-L TDI Q7 (Generation 2)	<input type="checkbox"/> 2013 <input type="checkbox"/> 2014 <input type="checkbox"/> 2015
<input type="checkbox"/> 3.0-L TDI A6 (Generation 2)	<input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016
<input type="checkbox"/> 3.0-L TDI A7 (Generation 2)	<input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016
<input type="checkbox"/> 3.0-L TDI A8, A8L (Generation 2)	<input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016
<input type="checkbox"/> 3.0-L TDI Q5 (Generation 2)	<input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016
PORSCHE	
<input type="checkbox"/> 3.0-L Cayenne (Generation 2)	<input type="checkbox"/> 2013 <input type="checkbox"/> 2014 <input type="checkbox"/> 2015 <input type="checkbox"/> 2016

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STEP 2: INDIVIDUAL INFORMATION

Individual Information					
<p><i>Please complete the following information for the <u>owner(s), current lessee(s), former owner(s) or former lessee(s)</u> of the potentially eligible vehicle. If the potentially eligible vehicle has multiple owners or lessees, please provide information for additional owners or lessees below and, if necessary, on a separate sheet. Please use each individual's full legal name.</i></p>					
<p>Is the vehicle owned by (check one): <input type="checkbox"/> Private Owner(s) or Lessee(s) <input type="checkbox"/> Business Organization</p>					
<p>Business Organization Name(s) (if applicable):</p>					
First Name		Middle Name		Last Name	
Contact Phone (Required)					
Email Address					
<p>Would you like to receive updates sent to this email address concerning the status of the Settlements, including the status of any claim, and notifications of any actions you are required to take to pursue a claim? (If you select "Yes", you will receive status notifications by email but you will be required to register online with your claim number and VIN to obtain details about your claim on the Online Claims Portal. If you select "No", you will receive status updates about your claim by U.S. mail. You may also call Volkswagen at 1-844-98-CLAIM (1-844-982-5246) to obtain all status updates.) (Select one.)</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>					
Additional Owner or Lessee (if applicable)					
First Name		Middle Name		Last Name	
Contact Phone					

If you have questions about this form or to learn more about your options, please visit www.VWCourtSettlement.com or call 1-844-98-CLAIM.

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Registered Address

Please provide the address that matches the registration for the potentially eligible vehicle. If your address is different than the vehicle's registered address or you no longer own or lease the vehicle, fill out the mailing address in the "Mailing Address" section below.

Street	<input type="text"/>				
City	<input type="text"/>	State	<input type="text"/>	Zip Code	<input type="text"/>

Mailing Address

Street	<input type="text"/>				
City	<input type="text"/>	State/Province	<input type="text"/>	Zip/Postal Code	<input type="text"/>
Country	(Select one.) <input type="checkbox"/> CANADA <input type="checkbox"/> U.S.				

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Additional Information

Please answer the questions below and sign below. Each Owner or Lessee listed above must complete and sign this section.

Are there any liens against the vehicle that is the subject of this claim other than a lien associated with vehicle financing? (If you are a lessee, you may skip this question.)
 YES NO

Are the names of the owner(s) listed above in Step 2 different from the name(s) on the title for the vehicle? (If you are a lessee, you may skip this question.)
 YES NO

Are you or any other individual listed on this form a Volkswagen, Audi or Porsche officer, director, employee or participant in Volkswagen’s internal lease program; an officer, director or employee of a Volkswagen, Audi or Porsche affiliate; an officer, director or employee of a Volkswagen, Audi or Porsche distributor; an authorized Volkswagen, Audi or Porsche dealer; or an officer or director of an authorized Volkswagen, Audi or Porsche dealer?
 YES NO

Are you or any other individual listed on this form a judicial officer or associated court staff assigned to this case, or an immediate family member thereof?
 YES NO

Are you or any other individual listed on this form military personnel currently serving overseas or government personnel currently on assignment overseas?
 YES NO

If yes, is your vehicle located overseas?
 YES NO

If you answer “Yes” to both of these questions, you may be subject to additional documentation requirements, as listed below.

Are you filing a claim on behalf of a decedent’s estate? If you answer “Yes” to this question, you may be subject to additional documentation requirements, as listed below.
 YES NO

By checking this box and signing this form, I certify that the above answers are true and accurate to the best of my knowledge.

Signature:	Date:
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Print Name:

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Additional Information (continued)

Additional Owner or Lessee (if applicable)

Are there any liens against the vehicle that is the subject of this claim other than a lien associated with vehicle financing?

YES NO

Are you or any other individual listed on this form a Volkswagen, Audi or Porsche officer, director, employee or participant in Volkswagen’s internal lease program; an officer, director or employee of a Volkswagen, Audi or Porsche affiliate; an officer, director or employee of a Volkswagen, Audi or Porsche distributor; an authorized Volkswagen, Audi or Porsche dealer; or an officer or director of an authorized Volkswagen, Audi or Porsche dealer?

YES NO

Are you or any other individual listed on this form a judicial officer or associated court staff assigned to this case, or an immediate family member thereof?

YES NO

Are you or any other individual listed on this form military personnel currently serving overseas or government personnel currently on assignment overseas?

YES NO

If yes, is your vehicle located overseas?

YES NO

If you answer “Yes” to both of these questions, you may be subject to additional documentation requirements, as listed below.

By checking this box and signing this form, I certify that the above answers are true and accurate to the best of my knowledge.

Signature:	Date:
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Print Name:

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STEP 3: VEHICLE INFORMATION

Vehicle Identification Number (VIN)

The VIN can be found on the dashboard of the vehicle and is 17 characters long. To confirm whether or not your vehicle is eligible for participation in the Settlement Program, please visit www.VWCourtSettlement.com.

VIN (Please provide all 17 digits of the eligible vehicle's VIN):

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Additional Vehicle Information

If you are the owner or you currently lease the vehicle, please fill in the information below. If you are not the registered owner or you no longer lease the vehicle, please proceed to Step 4.

Current Vehicle Mileage		As of Date:
Has the odometer ever been broken, altered or replaced? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Did your vehicle have a Branded Title of Assembled, Dismantled, Flood, Junk, Rebuilt, Reconstructed, or Salvaged on September 18, 2015? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Was your vehicle acquired by any person or entity from a junkyard or salvage yard after September 18, 2015? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Can your vehicle be driven under its own 3.0-L TDI engine power and in reasonable condition such that it can be driven lawfully and safely on public roads? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		
Are you a current owner who purchased an extended vehicle warranty and/or vehicle service contract for your vehicle from an Authorized Volkswagen, Audi or Porsche Dealer prior to January 31, 2017? (Select one.) <input type="checkbox"/> YES <input type="checkbox"/> NO		

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To determine the next section that you should complete, please see below. If you have any questions about the section that you need to complete, please visit www.VWCourtSettlement.com or call 1-844-98-CLAIM.

- If you are the owner of the vehicle identified in Step 3, fill out **Step 4** and then skip to **Step 9**.
- If you totaled the vehicle identified in Step 3 after January 31, 2017 and subsequently sold the vehicle to your insurance company, fill out **Step 5** and then skip to **Step 9**.
- If you currently lease the vehicle identified in Step 3, fill out **Step 6** and then skip to **Step 9**.
- If you formerly leased the vehicle identified in Step 3 and purchased the vehicle after January 31, 2017, fill out **Step 4** and then skip to **Step 9**.
- If you or anyone else formerly leased the vehicle identified in Step 3 but turned the vehicle in under the terms of the lease, fill out **Step 7** and then skip to **Step 9**.
- If you owned the vehicle identified in Step 3 at any point between September 18, 2015 and November 2, 2015, but sold or otherwise transferred ownership on or before January 31, 2017, fill out **Step 8** and then **Step 9**.
- If you totaled the vehicle identified in Step 3 on or after September 18, 2015 and before January 31, 2017, fill out **Step 8** and then **Step 9**.

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STEP 4: CURRENT OWNERSHIP INFORMATION

Ownership Information	
<i>If you are the owner of the vehicle identified in Step 3, please fill out the information below. If you are not the owner of the vehicle, please skip to Step 5.</i>	
Which of the statements below most accurately describes the ownership of the vehicle? (Select one.)	
<input type="checkbox"/> I am the owner of this vehicle. There is <u>no</u> loan on this vehicle title.	
<input type="checkbox"/> I am the owner of this vehicle. There is an outstanding loan attached to the title that I make payments on.	
Please enter the date that you purchased the vehicle. Documentation will be required.	
MONTH:	DAY:
YEAR:	
Did you or anyone else purchase this vehicle at the end of a lease from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc.? (Select one.)	
<input type="checkbox"/> YES <input type="checkbox"/> NO	
Vehicle Financing Information	
<i>Complete this section <u>only if</u> there is currently an outstanding loan attached to the vehicle title (i.e., if you checked "I am the owner of this vehicle. There is an outstanding loan attached to the title that I make payments on" above).</i>	
Account Holder(s) First Name(s)	Account Holder(s) Middle Initial(s)
Account Holder(s) Last Name(s)	Account Holder(s) Last Name(s)
Name of Lender:	
Lender Address:	Lender Phone Number:
What is the current outstanding loan balance? (This information will be verified.) \$ _____.	
Account Number:	Last 4 Digits of Social Security Number associated with account:
<input type="checkbox"/> By checking this box and signing this form, I authorize the release of all information pertaining to my lease, loan or title, including but not limited to contract, interest paid, payment history, and payoff information, to Volkswagen of America, Inc., an organizational unit of Volkswagen Group of America, Inc., Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor, and lawyers appointed by the Court as Settlement Class Counsel in the Volkswagen "Clean Diesel" litigation.	
Signature of Owner(s):	Date:

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Print Name of Owner(s):

Preferred Dealership

Vehicle buybacks, trade-ins and emissions modifications (if approved), will require a visit to an authorized Volkswagen, Audi or Porsche dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at a later time.

A list of authorized Volkswagen, Audi or Porsche dealerships can be found at www.vw.com, www.audiusa.com, <http://www.porsche.com/usa/>, or by calling 1-844-98-CLAIM.

Dealership Name			
Dealership City		Dealership State	

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Offer Selection

If you own a Generation 1 vehicle and you did not purchase your vehicle at the end of a lease on or after January 31, 2017, please select one of the three options below.

 **Vehicle Buyback**

Buybacks are available to Owners of Generation 1 vehicles who choose to **return their vehicles** for payment. If you select the Buyback option, you will receive the Buyback payment, which will be comprised of your Vehicle Value and Owner Restitution. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the Owner Restitution Payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.

The amount received under this option will be affected by the vehicle mileage on the vehicle buyback date and the amount of any outstanding loan obligations on the vehicle.

I elect the Buyback option. (You may change this selection later.)

 **Emissions Modification & Extended Emissions Warranty**
(if approved by EPA & CARB)

Owners of Generation 1 vehicles who choose to **keep their vehicles** and receive an Approved Emissions Modification free of charge will receive an Owner Restitution Payment. If this vehicle was formerly owned by another owner and that former owner files a valid claim, Owner Restitution payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.

Vehicles receiving an Approved Emissions Modification will also receive an **extended emissions warranty** covering the emissions system of the eligible vehicle.

I elect the Emissions Modification option. (You may change this selection later.)

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Offer Selection (cont.)



Vehicle Trade-In

Owners who choose to return their vehicles for Trade-In Credit at a participating Volkswagen, Porsche, or Audi Authorized Dealer. The Trade-In Credit includes the same components as the Vehicle Buyback, the Vehicle Value and Owner Restitution. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the Owner Restitution component will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.

If the Trade-In Credit exceeds the total price of the vehicle acquired through the Trade-In Option, the Eligible Owner will be entitled to the difference between the amount of the Trade-In Credit and the total retail transaction price for the newly acquired vehicle, in whatever form is negotiated between the Eligible Owner and the Volkswagen, Porsche, and Audi Authorized Dealer.

The amount received under this option will be affected by the vehicle mileage on the vehicle buyback date and the amount of any outstanding loan obligations on the vehicle.

I elect the Trade-In option. (You may change this selection later.)

If you own a Generation 2 vehicle and neither you nor anyone else purchased your vehicle at the end of a lease on or after January 31, 2017, please select the option below.



Emissions Compliant Repair & Extended Emissions Warranty
(if approved by EPA & CARB)

Eligible Owners will receive an Emissions Compliant Repair free of charge and an additional Owner Repair Payment. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the additional Owner Repair Payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.

Vehicles receiving an Approved Emissions Modification will also receive an extended emissions warranty covering the emissions system of the eligible vehicle.

I elect the Emissions Compliant Repair. (You may change this selection later.)

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Offer Selection (cont.)

If you currently own your vehicle and you or anyone else purchased it at the end of a lease from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. on or after January 31, 2017, the remedy below is available to you for the settlement of your claim.



Emissions Modification & Extended Emissions Warranty
(if approved by EPA & CARB)

Current Generation 1 Owners who purchased their vehicles at the end of a lease from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. on or after January 31, 2017 and choose to receive an Approved Emissions Modification free of charge will receive a Lessee Restitution Payment.

Current Generation 2 owners who purchased their vehicles at the end of a lease from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services Inc. after January 31, 2017 will receive the Emissions Compliant Repair free of charge and a Lessee Repair Payment.

Vehicles receiving an approved emissions modification will also receive an **extended emissions warranty** covering the emissions system of the eligible vehicle.

I elect the Emissions Modification option. (You may change this selection later.)

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Required Documentation – Buyback – or Trade-In

If you own your vehicle and selected the Buyback or Trade-In option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of each Owner named in **Step 2** (front and back).
 - If the vehicle is owned by a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Buyback or Trade-In on behalf of the corporate entity.
-
- Copy of vehicle title (front and back). If you do not have a copy of the vehicle title because there is a loan on your vehicle and the lender has the vehicle title, please indicate that you do not have a copy of the vehicle title by checking the box below.

 I cannot provide a copy of my vehicle title because it is in the possession of the lender that financed my vehicle.

 If you cannot provide a copy of your vehicle title, you must provide some other form of proof of ownership, which may include:
 - Current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3**. Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.
 - Copy of current vehicle insurance.
-
- If you are not the owner and are filing a claim on behalf of someone else, you must execute a power of attorney form. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
-
- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.
-
- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and
 - A document showing your authority to transact on behalf of the decedent.
-
- If you selected the box indicating that you have a Branded Title of Assembled, Dismantled, Flood, Junk, Rebuilt, Reconstructed, or Salvaged on September 18, 2015, submit **one** of the following documents. If you did not select this box, you do not need to submit any of these documents.
 - Copy of vehicle registration dated prior to September 18, 2015; or
 - Copy of vehicle title dated prior to September 18, 2015; or
 - Bill of Sale; or
 - Insurance policy dated prior to September 18, 2015.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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Required Documentation – Approved Emissions Modification

If you are currently own your vehicle and selected the Approved Emissions Modification option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Owner(s) named in **Step 2** (front and back).
- If the vehicle is owned by a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Approved Emissions Modification on behalf of the corporate entity.

- Current valid vehicle registration or the most recent registration for the vehicle referenced in **Step 3**. Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.

If you cannot provide a copy of your valid vehicle registration, you must provide some other form of proof of ownership, which may include:
 - Copy of current vehicle insurance.
 - Copy of vehicle title (front and back).

- If you are not the owner and are filing a claim on behalf of someone else, a power of attorney form. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.

- If the vehicle is registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.

- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and
 - A document showing your authority to transact on behalf of the decedent.

Please skip to Step 9 to sign and complete this form.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	First Initial:	<input type="text"/>
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STEP 5: VEHICLE TOTALED AFTER JANUARY 31, 2017 AND SOLD TO AN INSURANCE COMPANY

Ownership Information
<i>If you totaled your vehicle after January 31, 2017 and sold it to an insurance company or permanently removed it from commerce, please fill out the information below. If you did not total and sell your vehicle to an insurance company or remove it from commerce after January 31, 2017, please skip to Step 6.</i>
Please enter the date that you purchased the vehicle. Documentation will be required. MONTH: DAY: YEAR:
Please enter the date that you totaled your vehicle. Documentation will be required. MONTH: DAY: YEAR:
Please enter the name of the insurance company to which the vehicle was sold.
If you did not sell your vehicle to an insurance company, please describe the manner by which you permanently removed your vehicle from commerce, including the name and address of the entity that assisted in its removal.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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Offer Selection

If you totaled and sold to an insurance company or removed from commerce the vehicle identified in Step 3, below is the remedy available to you for the settlement of your claim.



Eligible Owner Restitution

An Owner Restitution Payment is available to Owners who totaled their vehicle after January 31, 2017 and sold it to an insurance company or permanently removed it from commerce. If this vehicle was formerly owned by another owner and that former owner files a valid claim, the Owner Restitution Payment will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim

For Generation 2 owners, an Owner Repair Payment is available to Owners who totaled their vehicle after January 31, 2017 and sold it to an insurance company or permanently removed it from commerce. If this vehicle was formerly owned or leased by another owner or lessee and that former owner or lessee files a valid claim, the eligible owner restitution will be reduced by 50%. If that claim is later deemed not eligible, you will receive a top-up payment for any amounts associated with that claim.

- I elect the Eligible Owner Restitution option (for Generation 1 owners).
- I elect the Owner Repair Payment option (for Generation 2 owners).

Required Documentation – Eligible Owner Restitution/Repair Payment for Totaled Vehicles

If you own your vehicle and selected the Owner Restitution option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of each Owner named in **Step 2** (front and back).
- If the vehicle was owned by a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Buyback on behalf of the corporate entity.
- Proof of ownership, which may include one of the following documents:
 - Valid vehicle registration as of the date the vehicle was totaled for the vehicle referenced in **Step 3**. Note: if you are a non-Volkswagen dealer, you may provide a Bill of Sale in lieu of vehicle registration.
 - Copy of valid prior title (front and back)
- If you selected the box indicating that your vehicle cannot be driven under its own 3.0-L TDI engine power and your vehicle was branded as totaled after January 31, 2017, submit **one** of the following documents. If you did not select this box, you do not need to submit these documents:

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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- Letter from insurance company indicating that the vehicle was totaled, was sold to the insurance company and the date; or
- Copy of vehicle title showing transfer of title to your insurance company.

If you did not sell your vehicle to an insurance company, please describe the manner by which you permanently removed your vehicle from commerce, including the name and address of the entity that assisted in its removal.

- If you were not the owner and are filing a claim on behalf of someone else, you must execute a power of attorney form. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle was registered to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and
 - A document showing your authority to transact on behalf of the decedent.
- If you selected the box indicating that you have a Branded Title of Assembled, Dismantled, Flood, Junk, Rebuilt, Reconstructed, or Salvaged on September 18, 2015, submit **one** of the following documents. If you did not select this box, you do not need to submit any of these documents.
 - Copy of vehicle registration dated prior to September 18, 2015; or
 - Copy of vehicle title dated prior to September 18, 2015; or
 - Bill of Sale; or
 - Insurance policy dated prior to September 18, 2015.

Please skip to Step 9 to sign and complete this form.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="text"/>	First Initial:	<input type="text"/>
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STEP 6: CURRENT LESSEE INFORMATION

Lessee Information

If you currently lease the vehicle identified in Step 3 from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc., please fill out the information below. If you do not currently lease the vehicle, please skip to Step 7.

Is your lease through Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc.?
(Select one.)

YES NO

Please enter the date that you started your lease of the vehicle. This will be independently verified.

MONTH: DAY: YEAR:

By checking this box and signing this form, I authorize the release of all information pertaining to my lease, loan or title, including but not limited to: contract, interest paid, payment history and payoff information, to Volkswagen of America, Inc., an organizational unit of Volkswagen Group of America, Inc., and Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor.

Signature of Lessee(s):	Date:
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Print Name of Lessee(s):

Preferred Dealership

Vehicle lease terminations and emissions modifications (if approved) will require a visit to an authorized Volkswagen, Audi or Porsche dealership to complete the transaction. Please indicate your preferred dealership below. This selection is not binding and can be changed at a later time if you choose.

A list of authorized Volkswagen, Audi or Porsche dealerships can be found at www.vw.com, www.audiusa.com, <http://www.porsche.com/usa/>, or by calling 1-844-98-CLAIM.

Dealership Name			
Dealership City		Dealership State	

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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Offer Selection

If you currently lease your vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. please select one of the two options below for the settlement of your claim. You may change your selection at any time by calling 1-844-98-CLAIM (1-844-982-5246).



Early Lease Termination

Current Lessees of Generation 1 vehicles who choose to **terminate their leases early** will receive a Lessee Restitution Payment. Current Lessees electing this option will be required to have an end of term inspection conducted on their vehicles and will be responsible for wear and tear, late payments and other amounts due, such as fees associated with late payments, or costs associated with tickets and tolls (**except early termination fee**) per the terms of the Lease Agreement.

I elect the Early Lease Termination option. (You may change this selection later.)



Emissions Modification & Extended Emissions Warranty (if approved by EPA & CARB)

Current Lessees of Generation 1 vehicles who choose to **keep their vehicles** and receive an Approved Emissions Modification free of charge will also receive a Lessee Restitution Payment and an **extended emissions warranty** covering the emissions system of the eligible vehicle.

I elect the Emissions Modification option. (You may change this selection later.)

Required Documentation – Lease Termination

If you currently lease your vehicle and selected the Lease Termination option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Lessee(s) named in **Step 2** (front and back). If the vehicle is leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Lease Termination on behalf of the corporate entity.
- If you are not the vehicle lessee and are filing a claim on behalf of someone else, you must execute a power of attorney form. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle is leased to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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- A document showing your authority to transact on behalf of the decedent.

Required Documentation – Approved Emissions Modification

If you currently lease your vehicle and selected the Approved Emissions Modification option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Lessee(s) named in **Step 2** (front and back)
- If the vehicle is leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact the Approved Emissions Modification on behalf of the corporate entity.
- If you are not the vehicle lessee and are filing a claim on behalf of someone else, you must execute a power of attorney form. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle is leased to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and
 - A document showing your authority to transact on behalf of the decedent.

Please skip to Step 9 to sign and complete this form.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	First Initial:	<input type="text"/>
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STEP 7: FORMER LESSEE INFORMATION

Lessee Information					
<i>If you <u>formerly</u> leased your vehicle from Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. and did not purchase the vehicle at the end of the lease, please fill out the information below. If you did not formerly lease the vehicle, please skip to Step 8.</i>					
Did you lease this vehicle through Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc.? (Select one.)					
<input type="checkbox"/> YES <input type="checkbox"/> NO					
Account Holder(s) First Name(s)		Account Holder(s) Middle Initial(s)		Account Holder(s) Last Name(s)	
Account Number:			Last 4 Digits of Social Security Number associated with account:		
Please enter the date that you started your lease of the vehicle. This will be independently verified.					
MONTH:		DAY:		YEAR:	
Please enter the date when you ended your lease of the vehicle. This will be independently verified.					
MONTH:		DAY:		YEAR:	
<input type="checkbox"/> By checking this box and signing this form, I authorize the release of all information pertaining to my lease, loan or title, including but not limited to: contract, interest paid, payment history and payoff information, to Volkswagen of America, Inc., an organizational unit of Volkswagen Group of America, Inc., and Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor.					
Signature of Lessee(s):					
Print Name of Lessee(s):					

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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Offer Selection

If you had an active lease through Volkswagen Credit, Inc., Audi Financial Services, or Porsche Financial Services, Inc. as of September 18, 2015 but returned your vehicle at the end of your lease term, below is the remedy available to you for the settlement of your claim. Note that in order to receive benefits under the Settlements as a Former Lessee, you must submit a complete claim with any required documentation no later than July 1, 2017 in order to be eligible for Former Lessee compensation.



Former Lessee Restitution

(available to Generation 1 owners)

Former Lessees of Generation 1 vehicles will receive a Lessee Restitution Payment.

I elect the Former Lessee Restitution option.



Lessee Repair Payment

(available to Generation 2 owners)

Former Lessees of Generation 2 vehicles will receive a Lessee Repair Payment.

I elect the Lessee Repair Payment.

Required Documentation – Former Lessee Restitution

If you formerly leased your vehicle and selected the Former Lessee Restitution option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the Lessee(s) named in **Step 2** (front and back).
- If the vehicle was leased to a corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- If you are not the former lessee and are filing a claim on behalf of someone else, you must execute a power of attorney form. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle was leased to a corporate entity, a corporate document showing your authority to transact on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.
- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and
 - A document showing your authority to transact on behalf of the decedent.

Please skip to Step 9 to sign and complete this form.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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STEP 8: FORMER OWNER INFORMATION

Former Owner Information

If you formerly owned the vehicle identified in Step 3 as of September 18, 2015 but sold or otherwise transferred ownership of the vehicle on or before January 31, 2017, please fill out the information below. Note that in order to receive benefits under the Settlements as a Former Owner, you must have identified yourself no later than May 1, 2017. You must also submit a complete claim with any required documentation no later than July 1, 2017 in order to be eligible for Former Owner compensation. If you did not formerly own the vehicle, please skip to Step 9.

Did you own the vehicle on September 18, 2015 and/or November 2, 2015? (Select one.)

YES NO

Did you sell the vehicle on or before January 31, 2017? (Select one.)

YES NO

Please enter the date that you purchased the vehicle. Documentation will be required.

MONTH: DAY: YEAR:

Please enter the date that you sold the vehicle. Documentation will be required.

MONTH: DAY: YEAR:

Offer Selection

If you owned the vehicle as of September 18, 2015 and/or November 2, 2017 and sold or otherwise transferred ownership of the vehicle on or before January 31, 2017, the remedy below is available to you for the settlement of your claim.



Former Owner Restitution (available to Generation 1 owners)

Former Owners of Generation 1 vehicles will receive Former Owner Restitution. If this vehicle has more than one former owner who files a valid claim, the Former Owner Restitution will be reduced by 50%. There cannot be more than two Eligible Former Owners

To claim benefits under the Settlements, you must have identified yourself as a Former Owner no later than May 1, 2017 by (1) registering at www.VWCourtSettlement.com or (2) filling out and returning the Former Owner Identification Form; and you must also submit a complete claim with any required documentation no later than July 1, 2017.

I registered as a Former Owner either online or by submitting the Former Owner Form. I elect to receive Former Owner Restitution.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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Former Owner Repair Payment (available to Generation 2 owners)

Former Owners of Generation 2 vehicles will receive a Former Owner Repair Payment. If this vehicle has more than one former owner who files a valid claim, the former owner restitution will be reduced by 50%. There cannot be more than two Eligible Former Owners.

To claim benefits under the Settlements, you must have identified yourself as an Eligible Former Owner no later than May 1, 2017 by (1) registering at www.VWCourtSettlement.com or (2) filling out and returning the Former Owner Identification Form; and you must also submit a complete claim with any required documentation no later than July 1, 2017.

- I registered as an Eligible Former Owner either online or by submitting the Eligible Seller Form. I elect to receive Former Owner Repair Payment.

Required Documentation – Former Owner Restitution

If you formerly owned your vehicle and selected the Former Owner Restitution or Repair Payment option above, you must submit a copy of each of the following types of documentation with your claim form.

- Current valid government issued photo identification of the former Owner(s) named in **Step 2** (front and back).
- If the vehicle was owned by corporate entity, a current valid government issued photo identification of the individual that is authorized to transact on behalf of the corporate entity.
- Proof of former ownership and sale, submit **one** of the following documents:
 - Copy of the Bill of Sale identifying the former Owner(s) named in **Step 2** as the seller, the date of sale and the vehicle VIN;
 - Copy of vehicle title identifying the former Owner(s) named in **Step 2** as the transferor, the date of the transfer and the vehicle VIN;
 - Proof of notification to the DMV (or applicable state agency) that the vehicle was sold and the registration was canceled, including the date and vehicle VIN.
- If you selected the box indicating that your vehicle cannot be driven under its own 3.0-L TDI engine power and your vehicle was branded as totaled between September 18, 2015 and June 28, 2016, submit **one** of the following documents. If you did not select this box, you do not need to submit either document:
 - Letter from insurance company indicating that the vehicle was totaled, was sold to the insurance company and the date; or
 - Copy of vehicle title showing transfer to your insurance company.
- A power of attorney form if you are not the former owner and are filing a claim on behalf of someone else. To obtain a power of attorney form, please visit the “Documents” section of www.VWCourtSettlement.com or call 1-844-98-CLAIM.
- If the vehicle was owned by a corporate entity, a corporate document showing your authority to transact

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	First Initial:	<input type="checkbox"/>
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on behalf of the corporate entity, such as Articles of Incorporation, Partnership Agreement or Certificate of Assumed Name/Doing Business As (“DBA”) Filing.

- If you selected the box indicating that you are filing a claim on behalf of a decedent’s estate, please submit **both of** the following documents. If you did not select this box, you do not need to submit either document.
 - A death certificate for the decedent; and
 - A document showing your authority to transact on behalf of the decedent.

Please proceed to Step 9 to sign and complete this form.

VOLKSWAGEN EMISSIONS 3.0-L PAPER CLAIMS FORM

Last Name:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	First Initial:	<input type="text"/>
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STEP 9: SUBMISSION, CONSENT & SIGNATURE

By signing below I agree to allow Volkswagen Group of America, Inc., Volkswagen of America, Inc. (collectively, "VWGoA") and Ankura Consulting Group, LLC, as the Court-Appointed Claims Supervisor ("Ankura") to retain and use the information provided in this form to accomplish the purposes relevant to processing a proof of claim related to the Settlements or Settlements proceedings including but not limited to contacting me with questions, updates or information using any or all of the provided information.

I provide permission for VWGoA and Ankura to use, or share with third parties, the information in this form to administer the Settlements, which includes accessing, using, preserving or disclosing the information when reasonably necessary to (a) comply with legal process or otherwise satisfy any applicable law or regulation; (b) prevent fraud or imminent harm; (c) ensure the security of information; or (d) address technical issues. For additional details concerning the use and disclosure of information collected in connection with the administration of the Settlements, please visit www.VWCourtSettlement.com and click on the link titled "Privacy Policy".

Signature:	Date:
Print Name:	
Signature:	Date:
Print Name:	

Submit this completed form along with any required documentation on or before June 1, 2019 for Generation 1 vehicles or on or before December 31, 2019 for Generation 2 vehicles by U.S. Mail or fax to the following:

VW / Audi Emissions Settlement Claims Processing
 P.O. Box 214500 Auburn Hills, MI 48321
 FAX: 248-754-6602

If you have questions about this form or to learn more about your options, please visit www.VWCourtSettlement.com or call 1-844-98-CLAIM.